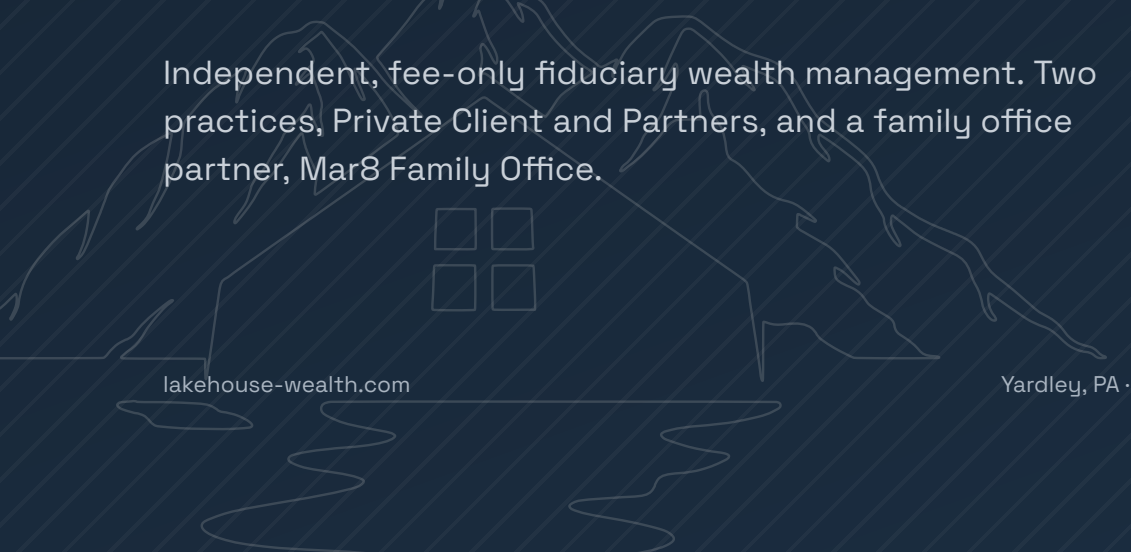
LAKE HOUSE
WEALTH

FIRM OVERVIEW · OCTOBER 2026

Built for the moments a career's work turns into wealth.

Independent, fee-only fiduciary wealth management. Two practices, Private Client and Partners, and a family office partner, Mar8 Family Office.



lakehouse-wealth.com

Yardley, PA · Miami, FL · Virtually nationwide

WHO WE ARE

One firm. Two practices. One way of working.

Lake House Wealth is an independent, fee-only fiduciary firm with offices in Yardley, PA and Miami, FL, working with clients virtually nationwide. We were built around a single idea: the twelve months around a liquidity event, a large vest or a retirement date pack more irreversible decisions into one year than the decade before them, and somebody has to sequence the whole thing.

Your CPA sees the tax year. Your attorney sees the agreement. Your company's stock plan administrator sees the grant. We sit in the middle, run the numbers across all of it, and bring each of them a specific question rather than a pile of documents in March.

PRIVATE CLIENT

When a career's work turns into wealth

Executives at liquidity events, founders and business owners, airline pilots, plan sponsors, and people a few years from retirement.

PARTNERS

A home for advisors going independent

Advisors who have built a real book and want independence without going it alone: tuck-in, partnership or sale.

FAMILY OFFICE PARTNER

Mar8 FAMILY OFFICE

Our family office partner, at mar8familyoffice.com.

How it fits together

Private Client and Partners sit under one roof, so a question that crosses practices gets walked down the hall rather than referred out. An advisor who joins through Partners brings a specialty, and that specialty becomes a lane rather than a footnote. Our family office partner is Mar8 Family Office. One fee schedule, one custody arrangement, one standard of candour across the firm.

Lake House Private Wealth Management is a dba of MGO One Seven, LLC, an SEC-registered investment adviser. Registration does not imply a certain level of skill or training. Content is educational and is not individualized investment, tax or legal advice, nor an offer or solicitation to buy or sell any security. Investments are not FDIC insured, carry no bank guarantee and may lose value.

WHO WE SERVE

Which one sounds like you?

EXECUTIVES AT A LIQUIDITY EVENT

Your company is being acquired or going public. Half your net worth is in company stock, and nobody has told you when to sell or what the tax bill will be.

FOUNDERS & BUSINESS OWNERS

You spent twenty years building it. You get one shot at selling it and keeping what you earned.

AIRLINE PILOTS

Thirty years of flying, a fixed retirement date, the A Fund and B Fund, and one mistake that could undo it.

PLAN SPONSORS

You offer a 401(k) because you have to. Then you find out you're on the hook for it, and paying too much.

APPROACHING RETIREMENT

You've saved for thirty years. Now one big mistake could wreck it right before you retire.

WHAT WE SPECIALIZE IN

"We specialize in the moments a career's work turns into wealth: a sale, a vest, a retirement date. Those moments pack more irreversible decisions into twelve months than the decade before them, and the windows to act close quietly."

Is there a minimum?

No. Whether we are the right fit is decided together on the first visit, and it depends on the complexity of your situation (a concentrated position, a transaction, equity compensation) more than on the size of an account. The one exception is The Omega Strategy, which has a $50,000 minimum.

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HOW WE WORK

Three things to know before you hire us.

01

We'll tell you what you need to hear, not what you want to hear.

If a return expectation isn't realistic, a retirement date is too early, or we aren't the right firm, we say so. People with real money are surrounded by yes-men. We are not one of them.

02

We coordinate rather than replace.

Your CPA and attorney stay. We do the modeling and bring each of them a specific question with the numbers already run.

03

The person you meet is the person who does the work.

There is no service tier that decides who returns your call, and no junior advisor assigned after the first meeting.

The first visit

Thirty minutes, and you do most of the talking. We ask one question first: *what would be the greatest service we could provide for you?* Then what concerns you most about that, and why. We close on one more: *twelve months from now, what needs to have happened for you to say you're glad you chose Lake House?* No presentation, no plan handed over before we understand the problem, no obligation and no cost. If we're not the right fit, we'll say so and point you toward someone who is.

What we do

01 · M&A & LIQUIDITY EVENTS

Before the letter of intent, at the close and after the wire: 280G modeling, concentration through a closing, multi-year tax sequencing.

02 · EXECUTIVE EQUITY COMPENSATION

RSUs, ISOs, NSOs, ESPP, 83(b) elections, 10b5-1 plans, and the tax sequencing around each.

03 · INTEGRATED FINANCIAL PLANNING

Cash flow, retirement, estate and insurance, with a written plan revisited whenever something material changes.

04 · WORKPLACE RETIREMENT & 401(K)

Plan design, fiduciary process and fee benchmarking for owners, coordinated with their own plan.

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INVESTING & FEES

The plan comes first. The portfolio follows it.

Broad, low-cost, globally diversified exposure does the structural work: the next few years of spending kept out of harm's way, the rest built for the long view. For most executives the largest risk is not the portfolio at all; it is the concentrated position in the company that also pays them, and reducing that comes first. Where it fits a plan, two satellite strategies are available: **The Omega Strategy**, two third-party quantitative models that are high-volatility by design and never a core holding, and **Physical AI**, an ETF sleeve across the power, grid and data-center buildout, sized at 10–20% at most.

Client assets are held in your name at Charles Schwab, Fidelity or Goldman Sachs. We never hold your money. There are no proprietary funds, no commissions and no revenue from anyone but you.

One fee. Published, so you know before you call.

Assets under management	Annual fee
First $1,000,000	1.00%
$1,000,001 – $3,000,000	0.85%
$3,000,001 – $5,000,000	0.70%
$5,000,001 – $10,000,000	0.55%
Above $10,000,000	0.40%

Tiered, not cliff-based: each rate applies only to the assets in that band. A $4 million relationship pays a blended 0.85%.

Planning is included. There is no separate planning charge, no commissions and no product revenue. The fee is deducted from your accounts at the custodian and shown on your statement.

No firm-wide minimum; fit is decided on the first visit. The Omega Strategy has a $50,000 minimum.

Fund and ETF expenses and custodial transaction charges are separate and paid to third parties. No performance is shown for The Omega Strategy or Physical AI, and neither is a recommendation; suitability is decided in the plan. We'll provide you your personal fee schedule prior to signing anything.

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THE TEAM

Small on purpose. You work with the person you meet.

Chris Gatsch

Founder & Managing Partner · Series 7, 66, 24

Leads planning, liquidity-event and equity-compensation work across the firm, and the Partners practice. More than a decade at Bank of America Merrill Lynch and JPMorgan Chase before founding Lake House.

Stephen Shaffer, CFP®, AIF®

Partner, Director of Financial Planning

Leads financial planning across the firm and the airline pilot practice. The written plan, the retirement picture and the estate structure run through Steve.

Juan Castañeda

Founder, Mar8 Family Office

Our family office partner. Formerly SVP at Merrill Lynch Private Wealth and Executive Director at J.P. Morgan Private Bank. Works in English, Spanish, Portuguese and French.

Sarah Ploskonka

Investment Assistant

Custodian coordination, paperwork, distributions and implementation. The reason things happen on time.

NEXT STEP

Let's grab a visit and talk it through.

Thirty minutes. No preparation, no obligation, no pitch. Book at lakehouse-wealth.com or call 609-647-9876.

LAKE HOUSE WEALTH

Yardley
1003 Summit Drive
Yardley, PA 19067

Miami
Miami, FL

Virtually nationwide
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